



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
SHIN-TSON WU, et al.

Group Art Unit: 1721

Examiner: unknown

Serial No.: 09/285,937

Our Ref.: B-3539 616758-3

Filed: April 02, 1999

Date of this paper:

For: "DOPANTS FOR LIQUID-CRYSTAL
DEVICES"

August 3, 1999

Commissioner of Patents and Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated May 4, 1999 (copy attached).

DECLARATION OR OATH

☒ Please find enclosed a copy of the original declaration* or oath

* This is a petition under 37 C.F.R. § 1.47 to accept the enclosed Declaration/Power of Attorney, which was signed by a joint inventor (Shin-Tson Wu) on behalf of nonsigning inventors (Seth Marder and Qing T. Zhang), who refuse to sign or who cannot be reached. A separate check in the amount of \$130.00 to cover the required fee under 37 C.F.R. § 1.17(h) is enclosed herewith.

AMENDMENT OF CLAIMS

☐ Please cancel claims _____ inclusive

☐ Please find enclosed an amendment deleting multiple dependencies

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner of Patents & Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Alex Martinez

(Typed or printed name of person mailing paper)

Date: August 3, 1999.

(Signature of person mailing paper)

BEST AVAILABLE COPY

08/09/1999 SERA1A
00000015 09285937
130.00 00
04 FC:122

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEEs

[X] Filing fee (37 CFR 1.16(a) - 760.00) \$760.00
small entity - 380.00

[] Fees for claims

[] Independent claims in excess of 3
(37 CFR 1.16(b) - 78.00)
(small entity - 39.00) _____

[] Each claim in excess of 20
(37 CFR 1.16(c) - 18.00)
(small entity - 9.00) _____

[] Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00) _____

[X] Petition fee under 37 C.F.R. § 1.17(h) \$130.00
for a petition under 37 C.F.R. § 1.47

[X] Surcharge fees

[X] Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00) _____

AND

[X] Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)

[] Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00) _____

[] Recording assignment
(37 CFR 1.21(h) (i) 40.00) _____

[] Attached is an assignment to _____

SMALL ENTITY STATUS

[] A verified statement that this filing is by a small entity is _____

[] Attached [] Already filed on _____

PAYMENT OF FEES

[X] Enclosed are checks in the amount of \$890.00 to cover filing fees and surcharge for the late filing of Declaration, \$110.00 to cover a one-month extension of time request, and \$130.00 to cover the 37 C.F.R. § 1.17(h) petition fee for a petition under 37 C.F.R. § 1.47.

[] Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

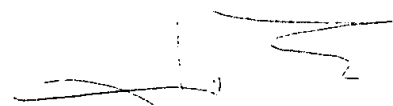
NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415.
- ☒ 37 CFR 1.16 (filing fees and presentation of extra claims)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).
- NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."

Instructions As To Overpayment

☒ Credit Account No. 12-0415 ☐ refund

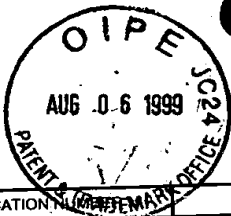


John Palmer
Attorney for the applicant
Registration No.: 36,885

c/o LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

Completion of Filing Requirements (Page 3 of 3)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/285,937	04/02/99 WU		S B-3539-61675

JOHN PALMER
LADAS & PARRY
5670 WILSHIRE BOULEVARD
SUITE 2100
LOS ANGELES CA 90036-5679

0242/0504

NOT ASSIGNED

1721

DATE MAILED: 05/04/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 890-

- ☒ 1. The statutory basic filing fee is:
☒ missing,
☐ insufficient.

Applicant must submit \$ 760- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

D. Bell
Customer Service Center
Initial Patent Examination Division (703) 308-1202

08/09/1999 SARAYA
01 FC:101
02 FC:105